

Roger Ochs

JD, CFP®, CLU/ChFC,
President and CEO

As president of the firm, Roger Ochs manages the day-to-day operations and directs the future of H.D. Vest Financial Services®, which has approximately 300 employees in Irving, Texas. The Company supports a network of approximately 4,800 independent tax professionals, mostly CPAs and Enrolled Agents, who offer financial solutions to their clients. These professionals manage over \$28 billion in assets for individuals, families and small businesses in all 50 states.

In 1999, Roger was named president of H.D. Vest, Inc. He has held multiple management positions within the organization, overseeing sales, marketing and technical support for Advisors since joining the firm in 1987. Prior to joining H.D. Vest, Roger worked with Prudential-Bache Securities as a financial planner.

Roger earned his juris doctor from Southern Methodist University School of Law, a master of business administration degree from Trinity University and a bachelor of business administration degree from Angelo State University. He is licensed to practice law in the state of Texas and holds the CFP®, CLU and ChFC designations and many securities licenses.

Named by *Accounting Today* for eight years as one of the top names to know in the accounting industry, Roger also serves as the chair on the Independent Firms Committee of the Securities Industry and Financial Markets Association (SIFMA) and is a member of the Texas Bar Association, Dallas Bar Association, and is an associate member of the National Association of Enrolled Agents. He is married with two young boys and served as President on the board of directors of the Barbara Gordon Montessori School from 2007 to 2009, with an additional year of services as a trustee. He now serves on the AMI American Montessori Institute Board as a trustee. Roger enjoys athletics and has run the Boston Marathon every year since 2002.

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